

- NAVIGATORS INSURANCE COMPANY (NAV)
- NAVIGATORS SPECIALTY INSURANCE COMPANY (NSIC)



LAWYERS PROFESSIONAL LIABILITY

INTELLECTUAL PROPERTY SUPPLEMENT

1. Please provide a breakdown of the firm's practice based on gross billable income by showing the percentages for each of the following:

- | | | | |
|-------------------------------------|--------|---|--------|
| a. Domestic Patent Prosecution | _____% | e. Patent Infringement | _____% |
| b. Foreign Patent Prosecution | _____% | f. Trademark/Copyright Registration & Licensing | _____% |
| c. Intellectual Property Litigation | _____% | g. Other (Describe) _____ | _____% |
| d. Patent Filings and Searches | _____% | | |

2. Industry Areas. Please provide a breakdown of the firm's practice by showing the percentages based on gross billable income derived from intellectual property matters within the following industries:

- | | | | |
|-----------------|--------|---------------------------|--------|
| a. Biotechnical | _____% | e. Industrial | _____% |
| b. Chemical | _____% | f. Mechanical | _____% |
| c. Computer | _____% | g. Pharmaceutical | _____% |
| d. Electric | _____% | h. Other (Describe) _____ | _____% |

3. Please list the three largest intellectual property clients of the firm based on the annual billings.

Name	Type of Business	Work Performed	Annual Billings
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____

4. Provide the percentage breakdown of the firm's clients by size (estimate):

Fortune 500 _____% Mid-Sized Companies _____% Small Companies/Entrepreneurs _____%

5. a. When undertaking a patent search, does the firm require the use of an engagement letter which details the nature, scope, and limitations of a proposed patent search? YES NO
- b. When an engagement is completed, does the firm always send termination letters? YES NO
- c. For foreign patent filings, is the client made aware of the deadlines for these filings and the requirements to complete the filing? YES NO
- d. For foreign patent prosecution, does the firm co-counsel or refer the matter to a foreign firm? YES NO

If "Yes," indicate how frequently, under what circumstances and whether a certificate of insurance is obtained:

- e. Is the firm responsible for payment of annuities, maintenance fees or taxes? YES NO
- f. If the client is responsible for payment of annuities, maintenance fees or taxes, or if authorization is necessary, are notices of required payments sent well in advance of the due date? YES NO
- g. Does the firm maintain a calendar or docketing system to record, monitor and comply with filing deadlines and other time limitations in connection with securing patents? YES NO
- h. Does the firm have procedures to ensure that the client is notified of all such deadlines and other time limitations? YES NO
- i. Does the firm accept "last minute" client filing requests? YES NO
- j. Does the firm have a process which includes a written warning to clients of the consequences of failing to file within deadlines? YES NO
- k. Does the firm engage the services of a third party to carry out patent searches? YES NO

If "Yes," indicate how frequently, under what circumstances and whether a certificate of insurance is obtained: _____

- l. When rendering an opinion as to the results of a patent search, does the firm qualify the opinion in writing with reference to the nature, scope, and limitations of the search conducted? YES NO
- m. Has the firm litigated any matters for which it handled the underlying intellectual property matter? YES NO
- n. Does the firm have written procedures for new client approval? YES NO
- o. Does the firm have a peer review procedure? YES NO
- p. Does the firm allow representation of individual inventor/employees of corporate or university clients? YES NO

- 6. Has the firm accepted equity interest in a client in lieu of fees? YES NO
If yes, provide a separate sheet describing the following:
 - Under what circumstances will the firm accept equity in lieu of fees?
 - What is the approval process if a lawyer wishes to accept equity in lieu of fees?
 - A list of clients in which you have accepted equity including the percentage of equity interest held in the client.

- 7. Is the work of associates supervised by a senior attorney of the firm?. YES NO

- 8. Does the firm employ contract attorneys? YES NO
 - a. Is the work of contract attorney reviewed by a senior attorney of the firm?. YES NO
 - b. Does the contract attorney have experience in intellectual property? YES NO

I understand that the information submitted in this supplement becomes a part of my Lawyers Professional Liability application and is subject to the same representations and conditions.

Print Name

Title

Signature

Date

INCOMPLETE, UNSIGNED AND UNDATED APPLICATIONS WILL BE RETURNED FOR COMPLETION.