

- NAVIGATORS INSURANCE COMPANY (NAV)
- NAVIGATORS SPECIALTY INSURANCE COMPANY (NSIC)



LAWYERS PROFESSIONAL LIABILITY

WILLS, TRUSTS & ESTATES SUPPLEMENT

1. List the top five largest clients to whom the firm provided legal services in the previous 12 months:

| <u>CLIENT NAME</u> | <u>ATTORNEY</u> | <u>APPROXIMATE VALUE</u> |
|--------------------|-----------------|--------------------------|
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

a. What services are provided for the client? (check all that apply)

- | | | | |
|--|--|--------------------------------------|---|
| <input type="checkbox"/> Preparation of Wills | <input type="checkbox"/> Estate Planning | <input type="checkbox"/> Probate | <input type="checkbox"/> Trust Administration |
| <input type="checkbox"/> Corporation Formation | <input type="checkbox"/> Tax Opinions | <input type="checkbox"/> Taxation | <input type="checkbox"/> Asset Protection |
| <input type="checkbox"/> Guardianship | <input type="checkbox"/> Medicaid Planning | <input type="checkbox"/> Litigation | <input type="checkbox"/> Other _____ |
| <input type="checkbox"/> Other _____ | <input type="checkbox"/> Other _____ | <input type="checkbox"/> Other _____ | |

b. Does the firm, or any member of the firm, have the authority to write checks, provide investment advice, make investments, or have discretionary control of funds for clients? YES NO
If yes, please provide a detailed narrative.

2. Does any one client account for 10% or more of an attorney's annual billings? YES NO
If yes, provide name and percent of revenue.

3. Does any attorney currently serve as Executor/Personal Representative/Administrator or Trustee? . YES NO
If yes, provide a list by attorney with: Name of client, approximate value and services provided.

4. Is there a member of the firm that is a Certified Legal Specialist in estate planning and/or taxation? YES NO

5. Is it the firm's policy to include a cold review by a second attorney when drafting all new wills and trusts? YES NO

6. a. How does the firm handle tax advice given in conjunction with estate and trust work?

- Firm out-sources all of its tax work
- Firm out-sources most tax work, but retains some
- Firm employs accountant(s) (CPA) who handle all tax matters
- Firm employs accountant(s) (CPA) who advises on all tax matters
- Firm employs tax attorney(s) who handle all tax matters
- Firm employs tax attorney(s) who advises on all tax matters
- Nature of firm's estate & trust work does not require tax advice
- Other: _____

b. Does the firm obtain a Certificate of Insurance for outsourced work? YES NO

c. How does the firm stay up to date on changes to the tax code?

I understand that the information submitted in this supplement becomes a part of my Lawyers Professional Liability application and is subject to the same representations and conditions.

Print Name

Title

Signature

Date

INCOMPLETE, UNSIGNED AND UNDATED APPLICATIONS WILL BE RETURNED FOR COMPLETION.